IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL No.: EV 327673397 US

APPLICANT(s): KELLY et al.

SERIAL NO.: ART UNIT:

FILING DATE: Herewith EXAMINER:

TITLE: DETERMINING MODE SPECTRA FOR PRINCIPAL

STATES OF POLARIZATION

ATTORNEY DOCKET NO.: 860-011447-US(PAR) / 2002-0779

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b) (1))

Sir:

This Information Disclosure Statement is filed together with the above-identified patent application. Thus, a certification under 37 C.F.R. 1.97 (e) or fee under 37 C.F.R. 1.17(p) is not required for the information herein to be considered.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application.

The above-identified patent application claims priority to European Patent Application No. 02022742.7 filed on October

11, 2002. Applicants' attorney encloses a copy of a European Search Report issued on the priority European Patent Application No. 02022742.7. The Search Report cited United States Patent No. 6,229,606, European Patent Application No. EP 1113250 and "PDL Measurements Using The Agilent 8169A Polarisation Controller", Agilent Test And Measurement Support, 2002, retrieved from the Internet.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

oseph V. Gamberdell, Jr.

August 27, 2003

Date

Reg. No.: 44,695 PERMAN & GREEN, LLP

425 Post Road, Fairfield, CT 06824

(203) 259-1800

Customer No.: 2512

Page No.: 1 of: 1

INFORMATION DISCLOSURE **CITATION FORM FOR** PATENT APPLICATION (FORM PTO-1449)

Docket No.: 860-011447-US(PAR)

20020779

Serial	No.:

Applicant(s): KELLY et al.

		*	j				
(Substitute)			Filing Date: Herewith	Group:			
			U.S. PATENTS				
Initials	Patent Number	Issue Date	Name		Class	Sub- class	Filing date
	6,229,606 B1	5/8/01	Way et al.		356	364	10/8/99
			U.S. PATENT PUBLICA	TIONS			
Initials	Publication No.	Pub. Date	Name	· · · · · · · · ·	Class	Sub- class	Filing Date
					}		
	J	FO	 REIGN PATENT DOCUM	ENTS	[<u> </u>	
Initials	Document Number	FO Date	REIGN PATENT DOCUM Country	ENTS	Nam	e e	Translatio Yes/No/n
Initials	Document Number EP 1113250 A1					e ologies Inc.	
Initials		Date	Country				Yes/No/n
Initials	EP 1113250 A1	7/4/01	Country	Agiler	nt Techno	ologies Inc.	Yes/No/n
Initials	EP 1113250 A1 OTHER "PDL Measurement	7/4/01 R DOCUMInts Using Th	Country	Agiler Pages, Etc.	nt Techno	ologies Inc.	Yes/No/n
Initials	EP 1113250 A1 OTHER "PDL Measurement	7/4/01 R DOCUMInts Using Th	ENTS (Title, Author, Date, the Agilent 8169A Polarization	Agiler Pages, Etc.	nt Techno	ologies Inc.	Yes/No/n
Initials	EP 1113250 A1 OTHER "PDL Measurement	7/4/01 R DOCUMInts Using Th	ENTS (Title, Author, Date, the Agilent 8169A Polarization	Agiler Pages, Etc.	nt Techno	ologies Inc.	Yes/No/n

Include a copy of this citation form with your next correspondence to the Applicant(s). Express Mail No.: EV 327673397 US Customer No.: 2512